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Patent
Case No.: 58962US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: BARAN, JIMMIE R. JR.

Application No.: 10/722,177 Confirmation No.: 7020

Filed: November 25, 2003

Title: SOLUTION CONTAINING SURFACE-MODIFIED NANOPARTICLES

INFORMATION DISCLOSURE STATEMENT

Mail Stop: RCE
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| CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)] | |
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| <input checked="" type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. | |
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| JUN 30 2006 | Signed by: <i>Kathleen M. Murray</i> |
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Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

In addition, copies of the non-patent literature documents listed as C1-C6 on the enclosed Form PTO-1449 are not enclosed as copies of these documents were previously provided to the Patent Office with the Form PTO-1449 submitted on April 4, 2005. These non-patent literature documents are being re-cited on the present Form PTO-1449 solely to provide the Patent Office with the month and year of publication, as requested.

A Request for Continued Examination under 37 CFR § 1.114 is being filed concurrently, thus it is believed that no fee is due for this Information Disclosure Statement.

Respectfully submitted,

Date

June 30, 2006

By:

Thomas M. Spielbauer

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Office of Intellectual Property Counsel
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Substitute for form 1449A/PTO (modified)

Application Number

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First Named Inventor

Baran, Jimmie R. Jr.

Art Unit

1714

Examiner Name

Sanders, K. A.

Attorney Case Number

58962US002

(Use as many sheets as necessary)

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U.S. Patent Documents

| Exam. Init.* | Cite No. | Document Number | Publication Date or Issue Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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Foreign Patent Documents

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| | | Ctry. Code | | | | |
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| | B3 | WO | 01/74953 A1 | 10-11-2001 | | |

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| | | |
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| Exam. Init.* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |
| | C1 | Haw et al., "Effects of Phase Behavior on the Drying of Colloidal Suspensions", Langmuir 2002, 18, January 26, 2002, pp. 1626-1633 |
| | C2 | Mackay et al., "Influence of Dendrimer Additives on the Dewetting of Thin Polystyrene Films", Langmuir 2002, 18, February 1, 2002, pp. 1877-1882 |
| | C3 | Barnes et al., "Influence of nanoparticles and polymer branching on the dewetting of polymer films", Elsevier, Advances in Colloid and Interface Science 94 (2001), November 15, 2001, pp. 83-104 |
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| | C6 | Qu et al., "Surfactant Self-Assemblies Controlling Spontaneous Dewetting", Langmuir 2002, 18, February 8, 2002, pp. 1649-1654 |

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.